

## Communication on Progress

Participant	Optimix Vermogensbeheer NV
Time period	July 2020 – June 2021

### Statement of continued support by the Chief Executive Officer

21 June 2021

To our stakeholders:

I am pleased to confirm that Optimix Vermogensbeheer NV reaffirms its support of the Ten Principles of the United Nations Global Compact in the areas of Human Rights, Labour, Environment and Anti-Corruption.

In this annual Communication on Progress, we describe our actions to continually improve the integration of the Global Compact and its principles into our business strategy, culture and daily operations. We also commit to share this information with our stakeholders using our primary channels of communication.

Sincerely yours,

Ton Schoemaker  
directeur

### Human Rights

#### *Assessment, policy and goals*

As an investor we don't invest in companies that systematically offend the protection of internationally proclaimed Human Rights within their sphere of influence; or which are complicit in Human Rights abuses.

#### *Implementation*

On a quarterly basis a specialized firm in ESG research, Sustainalytics screens our investment universe and identifies companies which are non-compliant with our Human Rights criteria. These companies are excluded from our investment universe.

#### *Measurement of outcomes*

On a quarterly basis a specialized firm in ESG research, Sustainalytics reviews our investments during the quarter, and assesses their compliance with our responsible investment policy. The output of their analysis is used in our quarterly responsible investment reporting.

## Labour

### *Assessment, policy and goals*

As an investor we don't invest in companies that systematically offend the freedom of association and the effective recognition of the right to collective bargaining; or which fail to eliminate all forms of forced and compulsory labour; or which show use of child labour; or which fail to eliminate discrimination in respect of employment and occupation.

### *Implementation*

On a quarterly basis a specialized firm in ESG research, Sustainalytics screens our investment universe and identifies companies which are non-compliant with our Labour Rights criteria. These companies are excluded from our investment universe.

### *Measurement of outcomes*

On a quarterly basis a specialized firm in ESG research, Sustainalytics reviews our investments during the quarter, and assesses their compliance with our responsible investment policy. The output of their analysis is used in our quarterly responsible investment reporting.

## Environment

### *Assessment, policy and goals*

As an investor we don't invest in companies that don't support a precautionary approach to environmental challenges; or which don't Undertake initiatives to promote greater environmental responsibility; or which fail to encourage the development and diffusion of environmentally friendly technologies.

### *Implementation*

On a quarterly basis a specialized firm in ESG research, Sustainalytics screens our investment universe and identifies companies which are non-compliant with our Environmental criteria. These companies are excluded from our investment universe.

### *Measurement of outcomes*

On a quarterly basis a specialized firm in ESG research, Sustainalytics reviews our investments during the quarter, and assesses their compliance with our responsible investment policy. The output of their analysis is used in our quarterly responsible investment reporting.

## Anti-Corruption

### *Assessment, policy and goals*

As an investor we don't invest in companies that systematically fail to work against all forms of corruption, including extortion and bribery.

### *Implementation*

On a quarterly basis a specialized firm in ESG research, Sustainalytics screens our investment universe and identifies companies which are non-compliant with our Anti-Corruption criteria. These companies are excluded from our investment universe.

### *Measurement of outcomes*

On a quarterly basis a specialized firm in ESG research, Sustainalytics reviews our investments during the quarter, and assesses their compliance with our responsible investment policy. The output of their analysis is used in our quarterly responsible investment reporting.

## **Sustainable Development Goals (SDGs)**

### *Assessment, policy and goals*

As an investor we're actively invest in in companies that contribute to a selection of six Sustainable Development Goals, grouped into two sustainable themes: Sustainable Innovation and Clean Earth.

### *Implementation*

We manage two thematic baskets consisting of equities of companies that contribute to the Sustainable Development Goals. The first thematic basket ("Sustainable Innovation") contributes to SDGs 9, 11 and 12. The second thematic basket ("Clean Earth") contributes to SDGs 6, 7 and 13.

### *Measurement of outcomes*

The two thematic baskets are part of Optimix' discretionary managed portfolios.